Attorney Docket No. 021932-02

EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EJ227561013US

Date of Deposit: January 19, 2000

In re application of: Robert C. Slane

Serial No.: 09/453,364

Filing Date: December 1, 1999

Group Art Unit: 2768

Examiner: Not Yet Assigned

For: Establishing and Managing Grantor Retained Annuity Trusts Funded by Nonqualified Stock

Options

I hereby certify that the following documents:

Express Mail Certificate, and

- 1. Information Disclosure Statement Under 37 C.F.R. § 1.56 and 37 C.F.R. § 1.97;
- 2. Information Disclosure Statement by Applicant (Form PTO-1449);
- 3. Cited References:
- 4. A Return Acknowledgment Postcard

are being deposited in a single envelope with the United States Postal Services "Express Mail" service under 37 C.F.R. 1.10 on the date indicated above and such envelope is addressed to Assistant Commissioner for Patents and Trademarks, Washington, D.C. 20231.

(Name of Person Mailing Documents)

(Signature of person mailing documents)